

IP4 Rec'd PCT/PTO 06 FEB 2006 2878

PATENT APPLICATION

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gareth WAKEFIELD et al

Conf. No.: 5846

Appln. No.: 10/524,976

Group Art Unit: 2878

Filed: February 18, 2005

Examiner: Unassigned

For: LUMINESENT COMPOUNDS

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

**ATTN:** Office of Initial Patent Examination

Filing Receipt Correction

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following corrections:

(1) In the "ATTY. DOCKET NO" section, "K0181.70015US00" should be -- **Q88621** --; and

(2) In the "Power of Attorney:" section, "The patent practitioners associated with Customer Number 23628", should be -- The patent practitioners associated with Customer Number **23373** --.

Verification for the requested corrections is indicated on the Declaration and Power of Attorney filed November 2, 2005 (a copy of which, along with the date-stamped mailroom receipt therefor, is attached hereto).

Respectfully submitted,

Gordon Kit

Registration No. 30,764

**SUGHRUE MION, PLLC**

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: February 6, 2006



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/524,976	11/02/2005	2878	1330	<del>K0181.70018US00</del>	2	26	1

Q88621

CONFIRMATION NO. 5846

23628  
 WOLF GREENFIELD & SACKS, PC  
 FEDERAL RESERVE PLAZA  
 600 ATLANTIC AVENUE  
 BOSTON, MA 02210-2211

## FILING RECEIPT



\*OC000000017925483\*

Date Mailed: 01/27/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Mark Green, Oxford, UNITED KINGDOM;

## Assignment For Published Patent Application

Oxonica Limited, Kidlington, UNITED KINGDOM

Initials	
Confirmation	<input type="checkbox"/>
Docketing	<input checked="" type="checkbox"/>
02/02/07	
02/27/06	

Power of Attorney: The patent practitioners associated with Customer Number ~~23628~~ 23343

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB03/03649 08/20/2003

## Foreign Applications

UNITED KINGDOM 0219409.0 08/20/2002

Projected Publication Date: 05/04/2006

Non-Publication Request: No

Early Publication Request: No

Title

Luminescent compounds

**Preliminary Class**

250

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

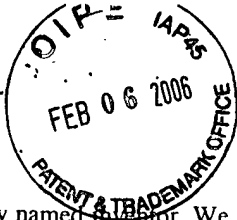
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Docket No.: Q-\_\_\_\_\_

**DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, We hereby declare that: Our residence, mailing address, and citizenship are as stated below next to our names. We believe we are an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**LUMINESCENT COMPOUNDS**

the application of which

☐ is attached hereto

OR

☒ was filed on February 18, 2005, as United States Application Number or PCT International Application Number 10/524,976 (Conf. No. \_\_\_\_\_), and was amended on February 18, 2005 (if applicable).

We hereby state that we have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.

We acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part application(s), material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

We hereby claim foreign priority under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application(s) which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application(s) having a filing date before that of the application on which priority is claimed.

Prior Application Number(s)	Country	Filing Date	Priority Claimed	
			Yes	No
PCT/GB03/03649	WIPO	August 20, 2003	<input checked="" type="checkbox"/>	
0219409.0	UNITED KINGDOM	August 20, 2002	<input checked="" type="checkbox"/>	<input type="checkbox"/>

We hereby claim benefit under 35 United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)

Filing Date

We hereby claim benefit under 35 United States Code §120 of any United States application(s) or §365(c) of any PCT International application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in a listed prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge my duty to disclose any information material to the patentability of this application as defined in 37 C.F.R. 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. or International Application Number(s)

U.S. or International Filing Date

Status



We hereby appoint all attorneys of SUGHRUE MION, PLLC who are listed under the USPTO Customer Number shown below as my attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, recognizing that the specific attorneys listed under that Customer Number may be changed from time to time at the sole discretion of Sughrue Mion, PLLC, and request that all correspondence about the application be addressed to the address filed under the same USPTO Customer Number.

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

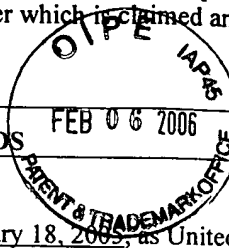
We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

<b>NAME OF SOLE OR FIRST INVENTOR:</b>				
Given Name (first and middle [if any]) Mark		Family Name or Surname GREEN		
Inventor's Signature 		Date 28.6.05		
Residence: City Oxford	State	Country UNITED KINGDOM	Citizenship United Kingdom	
Mailing Address: c/o Oxonica Limited, Unit 7 Begbroke Science & Business Park, Sandy Lane, Yarnton				
City Oxford, Kidlington	State	Zip OX5 1PF	Country UNITED KINGDOM	
<b>NAME OF SECOND INVENTOR:</b>				
Given Name (first and middle [if any]) Gareth		Family Name or Surname WAKEFIELD		
Inventor's Signature 		Date		
Residence: City Oxford	State	Country UNITED KINGDOM	Citizenship United Kingdom	
Mailing Address: c/o Oxonica Limited, Unit 7 Begbroke Science & Business Park, Sandy Lane, Yarnton				
City Oxford, Kidlington	State	Zip OX5 1PF	Country UNITED KINGDOM	
<b>NAME OF THIRD INVENTOR:</b>				
Given Name (first and middle [if any])		Family Name or Surname		
Inventor's Signature		Date		
Residence: City	State	Country	Citizenship	
Mailing Address:				
City	State	Zip	Country	
<b>NAME OF FOURTH INVENTOR:</b>				
Given Name (first and middle [if any])		Family Name or Surname		
Inventor's Signature		Date		
Residence: City	State	Country	Citizenship	
Mailing Address:				
City	State	Zip	Country	
<b>NAME OF FIFTH INVENTOR:</b>				
Given Name (first and middle [if any])		Family Name or Surname		
Inventor's Signature		Date		
Residence: City	State	Country	Citizenship	
Mailing Address:				
City	State	Zip	Country	

**DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, We hereby declare that: Our residence, mailing address, and citizenship are as stated below next to our names. We believe we are an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**LUMINESCENT COMPOUNDS**



the application of which

☐ is attached hereto

OR

☒ was filed on February 18, 2005, as United States Application Number or PCT International Application Number 10/524,976 (Conf. No. \_\_\_\_\_), and was amended on February 18, 2005 (if applicable).

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We acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part application(s), material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

We hereby claim foreign priority under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application(s) which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application(s) having a filing date before that of the application on which priority is claimed.

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0219409.0	UNITED KINGDOM	August 20, 2002	<input checked="" type="checkbox"/>	<input type="checkbox"/>

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Prior U.S. or International Application Number(s)

U.S. or International Filing Date

Status

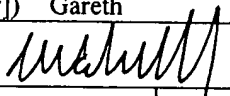
We hereby appoint all attorneys of SUGHRUE MION, PLLC who are listed under the USPTO Customer Number shown below as my attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, recognizing that the specific attorneys listed under that Customer Number may be changed from time to time at the sole discretion of Sughrue Mion, PLLC, and request that all correspondence about the application be addressed to the address filed under the same USPTO Customer Number.

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

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<b>NAME OF SOLE OR FIRST INVENTOR:</b>			
Given Name: (first and middle [if any]) Mark		Family Name or Surname GREEN	
Inventor's Signature		Date	
Residence: City Oxford	State	Country UNITED KINGDOM	Citizenship United Kingdom
Mailing Address: c/o Oxonica Limited, Unit 7 Begbroke Science & Business Park, Sandy Lane, Yarnton			
City Oxford, Kidlington	State	Zip OX5 1PF	Country UNITED KINGDOM
<b>NAME OF SECOND INVENTOR:</b>			
Given Name (first and middle [if any]) Gareth		Family Name or Surname WAKEFIELD	
Inventor's Signature 		Date 26/5/05 (26 MAY 2005)	
Residence: City Oxford	State	Country UNITED KINGDOM	Citizenship United Kingdom
Mailing Address: c/o Oxonica Limited, Unit 7 Begbroke Science & Business Park, Sandy Lane, Yarnton			
City Oxford, Kidlington	State	Zip OX5 1PF	Country UNITED KINGDOM
<b>NAME OF THIRD INVENTOR:</b>			
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address:			
City	State	Zip	Country
<b>NAME OF FOURTH INVENTOR:</b>			
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address:			
City	State	Zip	Country
<b>NAME OF FIFTH INVENTOR:</b>			
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address:			
City	State	Zip	Country



ATTN: MISSING PARTS

PLEASE DATE STAMP AND RETURN TO US - BOX 235X



In re Application of:

Mark GREEN et al

Conf. No.: 5846

Appln. No.: 10/524,976

Group Art Unit: 0000

Filed: February 18, 2005

Examiner: Unassigned

For: LUMINESCENT COMPOUNDS



PAPERS ENTITLED:

- (1) NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) (copy);
- (2) RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS (accompanied by a copy of the executed Declaration and Power of Attorneys (2) and check no. 284723 in the amount of \$130.00);
- (3) FORM PTO-1595 (accompanied by a copy of the execute Assignment and check no. 284724 in the amount of \$40.00);
- (4) INFORMATION DISCLOSURE STATEMENT; and
- (5) FORM PTO/SB/08 A & B (modified) (accompanied by a Search Report Under Section 17 and 31 references).

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

DOCKET NO.: Q-88621

ATTORNEY: GK/vf

DATE: November 2, 2005

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER